STATE OF CALIFORNIA—HEALTH AND HUMAN SERVICES AGENCY
DEPARTMENT OF SOCIAL SERVICES
Community Care Licensing Division
Statewide Children's Residential Program
744 P Street, Sacramento, CA 95814

CDSS
WILL LIGHTBOURNE
DIRECTOR

EDMUND G. BROWN JR.
GOVERNOR

December 10, 2015

SUMMIT ACADEMY- 602300080
839 HERMAN ROAD
HERMAN, PA 16039

SUBJECT : **Re-Certification by the California Department of Social Services (CDSS)**

Capacity : **353** Population served: **Males ages 14-17**

Pursuant to California Family Code, Section 7911 et al., this is notification that certification for Summit Academy, 839 Herman Road, Herman PA is continued through September 2016.

Certification will be re-reviewed annually or more often should non-compliance with California Title 22 licensing standards as they apply to California Group homes become questionable or at issue. Additionally, serious incidents must be reported to the CDSS Out-of-State Placement Policy Unit for each child in care regardless of whether he is a California Placement. Incident reporting shall include the following:

a. Deaths
b. Suicide attempts
c. Suspected physical, sexual, or psychological abuse
d. Epidemic outbreaks and catastrophes
e. Injuries and illnesses that require hospitalization or medical treatment (beyond first aid)
f. Use of restraint (whether or not they result in an injury to a child)
g. Any unusual incident or absence that threatens the health or safety of a child

While certified, we will continue to follow our Department policy which authorizes us to inspect facilities with or without an appointment as necessary.

If you have any questions, please contact me at (916) 651-5380; or Certification Analyst Carol Lancaster at (916) 838-5751.

Sincerely,

Original Signed by
Marisa Sanchez, Manager I
Children's Residential Program
Community Care Licensing

C: CDSS Out-of-State Placement and Policy Unit

FACILITY EVALUATION REPORT

CALIFORNIA DEPARTMENT OF SOCIAL SERVICES
COMMUNITY CARE LICENSING DIVISION
CCLD Regional Office, 744 P STREET, MS 8-3-54
SACRAMENTO, CA 95814

FACILITY NAME:	SUMMIT ACADEMY		**FACILITY NUMBER:**	602300080
ADMINISTRATOR:	COLLEEN VRISH		**FACILITY TYPE:**	731
ADDRESS:	839 HERMAN ROAD		**TELEPHONE:**	(724) 282-1995
CITY:	HERMAN	**STATE:** PA	**ZIP CODE:**	16039
CAPACITY:	353	**CENSUS:** 192	**DATE:**	09/30/2015
TYPE OF VISIT:	Case Management	UNANNOUNCED	**TIME BEGAN:**	09:34 AM
MET WITH:	Sal Costanzo, John McCloud, Steve Shear, Gina Samosky, Colleen Urish		**TIME COMPLETED:**	03:05 PM

NARRATIVE

PURPOSE OF VISIT:

As mandated by California law, this annual recertification inspection was performed on September 30, 2015 by the undersigned analyst in order to insure that the facility continues to:

- have adequate and appropriate resources to provide safe, suitable 24-hour residential care, supervision and treatment services to youth in care.
- remain in substantial compliance with California licensing standards and regulations, as well as licensing laws and standards of the state of Pennsylvania where the facility is located.

CERTIFICATION HISTORY:

Pursuant to California Family Code Section 7911 et al., Summit Academy was initially certified by the CDSS September 22, 2014. This is the facility's first recertification inspection.

FACILITY INFORMATION AND PROGRAMMING:

(NOTE: Reference the initial certification report of February 22, 200, for a complete description and overview of Summit Academy, its program, purpose, methods and goals, as well as a descriptor of the type of clients served.)

There have not been any significant programming, administrative staffing or physical plant changes since initial certification.

SUPERVISOR'S NAME: Marisa Lopez **TELEPHONE:** (916) 651-0631

LICENSING EVALUATOR NAME: Carol Lancaster **TELEPHONE:** (916) 838-5751

LICENSING EVALUATOR SIGNATURE:

Original Signed by Carol Lancaster **DATE:** 11/23/2015

I acknowledge receipt of this form and understand my licensing appeal rights as explained and received.

FACILITY REPRESENTATIVE SIGNATURE:

Original Signed by John McCloud **DATE:** 11/23/2015

This report must be available at Child Care and Group Home facilities for public review for 3 years.

FACILITY EVALUATION REPORT (Cont)

FACILITY NAME: SUMMIT ACADEMY

FACILITY NUMBER: 602300080
VISIT DATE: 09/30/2015

NARRATIVE

LICENSING CAPACITY, CENSUS, CA PLACEMENTS AND PLACING AGENCIES:

Summit Academy is licensed by the State of Pennsylvania and certified by the State of California for 353 male youth, ages 14 through 17. At the time of inspection, the facility census was 192. The following states currently have youth in care at the facility: Pennsylvania, Ohio, Deleware, W. Virginia, Maryland, District or Columbia and California. The five California youth in the facility were placed by the following California agencies:

- Sacramento County Probation (4)
- San Joaquin County Probation (1)

PA LICENSING INFORMATION

The facility is licensed in the state of Pennsylvania (PA) by the PA Department of Public Welfare, Bureau of Human Services Licensing, to provide residential care and treatment services for 353 male adolescent youth (License/facility number 472040.) Current license is good for the period August 1, 2015 through August 1, 2016.

The facility's PA licensing reports are available for review online at www.dpw.state.pa.us. Between reviewing PA Licensing reports and making contact with PA Licensing, it is noted that there are still some outstanding plans of correction pending from citations issued over the least year. A PA Licensing representative reports that extensions for full implementation have been granted; however, if not satisfactorily met in the near future, the facility's regular license may be changed to a provisional license. A provisional license (as opposed to a "regular" license,) is issued for a shorter duration (three or six months as opposed to a year) and is indicative of a facility being in *less than full* compliance.

Of primary concern are two investigations during the previous year prompted by injuries suffered by clients: On October 8, 2014, a client was involved in two restraints (same day, very close in time, different staff and different locations) which resulted in serious injury. Although it may be doubtful that staff meant to inflict injury, serious injury resulted and could have been avoided had staff adhered to and practiced better judgment given the crisis intervention and restraint methods and training they are certified in. Additionally, because the restraints were poorly documented in the required reports, it was unclear when and what specifically caused the injury.

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LICENSING EVALUATOR SIGNATURE:

DATE: 11/23/2015

Carol Lancaster

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DATE: 11/23/2015

John D. McCloud

FACILITY EVALUATION REPORT (Cont)

FACILITY NAME: SUMMIT ACADEMY

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NARRATIVE

In another incident on May 20, 2015, a supervisory staff lost his temper and composure with a client and engaged in a physical altercation with him which resulted in the client falling down, hitting his head and suffering serious injury. While the staff was subsequently terminated, investigation into the matter proved difficult as subordinate staff who witnessed the issue were less than forthcoming as far as what they saw the superior staff do as well as reporting it per proper protocol. The CDSS conducted an independent investigation into this matter and cited physical abuse. At the conclusion of CDSS' investigation, an informal meeting via conference call between CDSS and Summit representatives occurred on June 24, 2015. The facility was additionally addressed by the CDSS for the following:

- Accepting clients who are 18. (Facility may only accept clients 14-17)
- Personal rights: CA youth demonstrate fear and intimidation by youth peers from non-CA states with an emphasis on PA.
- Physical Plant: Unsanitary restrooms
- Incident Reporting: Insufficient details/information.

At the conclusion of the call, an agreed upon plan of correction was reached which Summit subsequently satisfied in a timely manner

FIRE CLEARANCE

Records provided in the facility's application for certification received on August 11, 2014 reflected that a fire safety inspection was last conducted August 15, 2013.

In documentation dated January 8, 2015, a representative with the Dormant Fire Department inspected the facility, identified that the facility has designated fire-safe areas, and conducted a time evacuation drill that met PA standards.

While it is unclear at this time exactly how often and by who the facility needs to be re-inspected by (as far as PA fire safety authorities,) it is being requested of Summit to respond to this issue with relevant and accurate information and documentation.

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FACILITY EVALUATION REPORT (Cont)

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NARRATIVE

HEALTH DEPARTMENT CLEARANCE:

Meals at the facility are prepared in a large commercial kitchen and served in an adjoining cafeteria. This operation is under the oversight of the Commonwealth of PA, Department of Agriculture, Bureau of Food Safety and Laboratory Services out of Harrisburg, PA. In a "Retail Food Facility Inspection Report" dated April 17, 2015, the kitchen and cafeteria were inspected and deemed to be in compliance.

Food menus and nutrition are under the oversight of the PA Department of Education, School Nutrition Program guidelines and are subject to periodic reviews.

MISC. ACCREDITATIONS.

Summit Academy has an on-grounds private academic secondary school (grades 9-12) that is licensed by the Commonwealth of PA, Department of Education The school's current license is good for the period August 9, 2015 through August 9, 2016

Summit Academy is licensed by the Pennsylvania Department of Drug and Alcohol Programs to provide inpatient non-hospital drug and alcohol treatment to up to 162 clients. The most current license for these services was Issued January 1, 2015 and is good through December 31, 2015.

Summit is accredited by the Council on Accreditation of Residential Facilitie for:

- Residential Treatment: Alcohol and Other Drugs/Addictions (Juvenile Justice)
- Residential Treatment: Mental Health (Juvenile Justice)

The facility's most recent accreditation is good through February 2017.

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FACILITY EVALUATION REPORT (Cont)

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VISIT DATE: 09/30/2015

NARRATIVE

BEHAVIOR MANAGEMENT/EMERGENCY INTERVENTION:

Summit Academy staff who work directly with clients in the daily living milieu are trained in Therapeutic Crisis Intervention (TCI) -- a crisis prevention and intervention model developed at Cornell University. Summit has five staff trained as trainers who train both new and existing staff. New staff must be provided initial training and certification; existing staff must receive ongoing/refresher training in order to maintain their certification status. Physical escorts and restraints are encompassed in TCI; however, staff also learn that such are prohibited without appropriate justification to include:

- Prevention, de-escalation and other non-physical management techniques and methods being utilized (whenever possible) prior to physical.
- Clients must be presenting an imminent danger to themselves or others.

SCOPE OF RE-CERTIFICATION REVIEW:

- Entrance and exit interviews with various members of management and administrative staff.
- Collection of updated and current organizational and program information.
- Walk through inspection of residential halls and numerous other buildings on campus.
- Personnel file(s) reviewed
- Client file(s) reviewed.

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FACILITY EVALUATION REPORT (Cont)

FACILITY NAME: SUMMIT ACADEMY

FACILITY NUMBER: 602300080
VISIT DATE: 09/30/2015

NARRATIVE

FINDINGS:

The physical inspection of the facility did not reveal any significant areas for concern, nor did the review of staff and client files examined. However, the two serious events occurring during the last year (which was Summit's first year of CDSS certification) do cause significant concern. As stated in a previous section of this report, a conference call between CDSS and the facility did occur as a result of the second event which was investigated by the CDSS and the report with findings was presented and reviewed upon the conclusion of the investigation. The facility was cited for numerous violations, a plan of correction was arrived at, and the facility subsequently satisfied the plan of correction in the time frame given. For this reason, Summit will be extended another opportunity (i.e., re-certified,); provided the following conditions are met:

- Summit to notify the CDSS immediately of any change in their license status with PA Licensing (i.e., from "regular" to "provisional.")
- Summit to notify the CDSS whenever an oversight agency (e.g., PA Licensing, CPS or law enforcement) initiates an investigation, and keep CDSS abreast accordingly through the conclusion of same.
- Summit to continue reporting every serious incident and restraint which occurs at the facility as required by CA Licensing standards.
- Summit to maintain a monthly restraint log. Each restraint report should include: (1) Name or initials of student involved and placing agency, (2) date and time of the restraint, (3) restraint method used, (4) duration, (5) staff who performed the restraint, (6) staff who witnessed the restraint, (7) evidence/comments of administrative review. Monthly restraint logs must be available for review at the facility upon request during normal business hours. A copy of monthly restraint logs for each quarter of the calendar, year beginning in calendar year 2016, must be sent via US mail to the undersigned by the 15th of the month following each quarter.

Re-Certification Decision:

Re-certification approved through September 2016.

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